



PTO-1449 REPRODUCED			ATTORNEY DOCKET NO. 1932.1064-033		APPLICATION NO. Con't. of 09/311, 13		
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> December 18, 2001 (Use several sheets if necessary)			APPLICANT Chad Cori Huval et al.				
			FILING DATE		GROUP 1617		
<b>U.S. PATENT DOCUMENTS</b>							
EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
AA	3,288,770	11/29/66	Butler	260	88.3		
AB	4,298,715	11/03/81	Van Eenam	525	340		
AC	5,430,110	07/04/95	Ahlers et al.	525	328.2		
AD	5,428,112	06/27/95	Ahlers et al.	525	326.7		
AE	4,759,923	07/26/88	Buntin et al.	424	440		
AF	4,812,540	03/14/89	Kageno et al.	526	218.1		
AG	4,452,957	06/05/84	Neigel	526	71		
AH	3,990,958	11/09/76	Sasse	204	159.22		
AI	4,121,986	10/24/78	Battaerd	204	159.22		
AJ	5,200,482	04/06/93	Gartner	526	295		
AK	2,926,161	02/23/60	Butler et al.	260	89.7		
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
AL	✓ 0 665 245 A1	02 AUG 95	EPO				
AM	✓ 2 090 605 A	14 JUL 82	UK				
AN	✓ 0 580 078 A1	26 JAN 94	EPO (German)			X	
AO	✓ 0 580 079 A1	26 JAN 94	EPO (German)			X	
AP	✓ WO 98/29107	09 JUL 98	WIPO				
AQ	✓ 0 375 350 A2	27 JUN 90	EPO				
AL2	✓ 2,007,641	01 AUG 90	Canada				
AM2	✓ 2,016,467	05 DEC 90	Canada				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
AR	✓ McCarthy, P.A., "New Approaches to Atherosclerosis: An Overview," Medicinal Research Reviews, 13(2):139-159 (1993).						
AS	✓ Heming, A.E. and Flanagan, T.L., "Considerations in the Selection of Cation Exchange Resins for Therapeutic Use," In Annals of the New York Academy of Sciences, 57:239-251 (1954).						
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EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
A	AA2	3,700,623	10/24/72	Keim	260	80.3R	
1	AB2	3,833,531	09/03/74	Keim	260	29.6CM	
	AC2	3,840,504	10/08/74	Keim	260	79.3A	
	AD2	3,966,694	06/29/76	Espy et al.	526	11.2	
	AE2	5,607,669	03/04/97	Mandeville, III et al.	424	78.12	
	AF2	5,618,530	04/08/97	Mandeville, III et al.	424	78.12	
	AG2	5,624,963	04/29/97	Mandeville, III et al.	514	789	
	AH2	5,679,717	10/21/97	Mandeville, III et al.	514	742	
	AI2	5,693,675	12/02/97	Mandeville, III et al.	514	742	
	AJ2	5,703,188	12/30/97	Mandeville, III et al.	526	290	
	AK2	5,462,730	10/31/95	McTaggart et al.	424	78.35	
	AA3	3,983,140	09/28/76	Endo et al.	260	343.5	
	AB3	4,231,938	11/04/80	Monaghan et al.	260	343.5	
	AC3	4,346,227	08/24/82	Terahara et al.	560	119	
g	AD3	4,444,784	04/24/84	Hoffman et al.	424	279	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
g	AT	Harada, Susumu and Kunio Arai, "The Cyclo-copolymerization of Diallyl Compounds and Sulfur Dioxide, II. Diallyldimethylammonium Chloride and Sulfur Dioxide," <i>Die Makromolekulare Chemie</i> 107:64-77 (1967).					
1	AU	Negi, Youji et al., "Cyclopolymerization of Diallylamine Derivatives in Dimethyl Sulfoxide," <i>Journal of Polymer Science: Part A-1</i> , 5:1951-1965 (1967).					
1	AV	Kuron, G.W. et al., "The Bile Acid Binding and Hypocholesterolemic Action of Two Water-soluble Polymers," <i>Atherosclerosis</i> 37:353-360 (1980).					
1	AW	Hodgkin, J.H. et al., "Use of <sup>13</sup> C-NMR in the Study of Reactions on Crosslinked Resins," <i>Journal of Polymer Science</i> 19(5):1239-1249 (1981).					
g	AX	United States Serial No. 08/777,408, filed on December 30, 1996, "Poly (diallylamine) - Based Bile Acid Sequestrant" by Stephen Randall Holmes-Farley, Pradeep K. Dhal and John S. Petersen.					
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EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		AE3	4,739,073	4/19/88	Kathawala	548	406
		AF3	5,273,995	12/28/93	Roth	514	422
		AG3	4,483,999	11/20/84	Thiele et al.	560	57
		AH3	3,948,973	4/6/76	Phillips	260	473
		AI3	4,058,552	11/15/77	Mieville	560	52
		AJ3	3,971,798	7/27/76	Humbert et al.	260	295
		AK3	3,984,413	10/5/76	Metz et al.	260	254
		AA4	3,781,328	12/25/73	Witte et al.	260	471 R
		AB4	3,716,583	2/13/73	Nakamura et al.	260	520
		AC4	3,262,850	7/26/66	Jones et al.	167	65
		AD4	3,723,446	3/27/73	Scherm et al.	260	295.5R
		AE4	3,674,836	7/4/72	Creger	260	473 G
		AF4	3,369,025	2/13/68	Bolhofer et al.	260	295
		AG4	3,494,957	2/10/70	Nakanishi et al.	260	473
		AH4	4,450,171	5/22/84	Hoffman et al.	424	279
		AI4	3,723,446	3/27/73	Scherm et al.	260	295.5R
		AJ4	5,316,765	5/31/94	Folkers et al.	424	94.1

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES      NO
		AN2	W0 98/40375	17 SEP 98	WIPO	—	—
		AO2	GB 860,303	01 FEB 61	England	1	1
		AP2	GB 2270312	09 MAR 94	England	1	1
		AQ2	EP 244364	04 NOV 87	EPO	—	—

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## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
7	AK4	4,230,626	10/28/80	Chorvat	260	397.2	
	AA5	5,274,155	12/28/93	Thottathil et al.	556	405	
	AB5	4,937,259	6/26/90	Lee	514	460	
	AC5	4,049,813	9/20/77	Nadelson	424	263	
	AD5	5,134,155	7/28/92	Connolly et al.	514	403	
7	AE5	3,607,909	9/21/71	Boulogene et al.	260	477R	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
7	AL3	EP 22478 B1	21 JAN 81	EPO			
7	AM3	EP 33538 B1	12 AUG 81	EPO			
	AN3	EP 409281	23 JAN 91	EPO			
	AO3	EP 380392 B1	01 AUG 90	EPO			X
	AP3	EP 464845 B1	08 JAN 92	EPO			
	AQ3	EP 369323	23 MAY 90	EPO			
	AI4	EP 418648 B1	27 MAR 91	EPO			
	AM4	EP 245003	11 NOV 87	EPO			
	AN4	WO 90/00897	08 FEB 90	WIPO			
	AO4	EP 321090	21 JUN 89	EPO			
	AP4	EP 326386	02 AUG 89	EPO			
	AQ4	DE 3122499	24 DEC 81	Germany			X
	AL5	DE 2038835	18 FEB 71	Germany			X
7	AM5	DE 2250327	26 APR 73	Germany			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

7	AY	Sit, S.Y., et al., "Synthesis, Biological Profile, and Quantitative Structure-Activity Relationship of a Series of Novel 3-Hydroxy-3- methylglutaryl Coenzyme A Reductase Inhibitors," J. Med. Chem., 33(11), 2982-99 (1990).
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7	AN5	EP 329 124	23 AUG 89	EPO	—	—	
	AO5	DE 2149070	05 APR 73	Germany			X
	AP5	JP 56-51992	9 MAY 81	Japan			X
	AQ5	JP 8-73432	19 MAR 96	Japan			X
	AL6	JP 7-89898	04 APR 95	Japan			X
	AM6	GB 2 29 334	24 MAR 99	United Kingdom			
	AN6	JP 3-109407	09 MAY 91	Japan			X
2	AO6	EP0794053 A2	10 SEP 64	EPO	—	—	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
2	AZ	Takano, S., et al., "Enanticonvergent Synthesis of a Promising HMG Co-A Reductase Inhibitor NK-104 from Both Enantiomers of Epichlorohydrin," <i>Tetrahedron:Asymmetry</i> , 4(2), 201-4 (1993).					
	AR2	Sood, A., et al., "Boron analogues of amino acids VI. Synthesis and characterization of di- and tripeptide analogues as antineoplastic, anti-inflammatory and hypolipidemic agents," <i>Eur. J. Med. Chem.</i> , 25(4), 301-8 (1990).					
	AS2	Raulston, D.L., et al., "Inhibition of Hepatic Sterol Synthesis and Reduction of Serum Cholesterol in Rats by 5 $\alpha$ -Cholest-8(14)-En-3 $\beta$ -Ol-15-One," <i>Biochem. Biophys. Res. Commun.</i> , 71(4), 984-9 (1976).					
	AT2	Wint, L.T. and McCarthy, P.A., "Synthesis of Tritium Labelled (3R*,5S*)-3,5-Dihydroxy-9,9-diphenyl-6,8-nonadienoate," <i>J. Labelled Compd. Radiopharm.</i> , 25(11), 1289-97 (1988).					
	AU2	Falck, J.R. and Yang, Y-L., "Total Synthesis of (+)-Dihydromevinolin," <i>Tetrahedron Lett.</i> , 25(33), 3563-66 (1984).					
	AV2	Beck, G., et al., "Synthesis and Biological Activity of New HMG-CoA Reductase Inhibitors. 1. Lactones of Pyridine- and Pyrimidine-Substituted 3,5-Dihydroxy-6-heptenoic (-heptanoic) Acids," <i>J. Med. Chem.</i> , 33(1), 52-60 (1990).					
	AW2	Jendralla, H., et al., "Synthesis and Biological Activity of New HMG-CoA Reductase Inhibitors. 3.1:2 Lactones of 6-Phenoxy-3,5-dihydroxyhexanoic Acids," <i>J. Med. Chem.</i> , 34(10) 2962-83 (1991).					
2	AX2	Chiang, Y-C.P., et al., "Total Synthesis of L-659,699, a Novel Inhibitor of Cholesterol Biosynthesis," <i>J. Org. Chem.</i> , 54(24), 5708-12 (1989).					
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
12	AY2	Ogawa, H., et al., "Pannorin, A New 3-Hydroxy-3-Methylglutaryl Coenzyme A Reductase Inhibitor Produced by <i>Chrysosporium pannorum</i> ," <i>J. Antibiot.</i> , 44(7), 762-7 (1991).	
1	AZ2	Carte, B.K., et al., "Rawsonol, An Inhibitor of HMG-CoA Reductase from the Tropical Green Alga <i>Avrainvillea Rawsoni</i> ," <i>Phytochemistry</i> , 28(11), 2917-19 (1989).	
	AR3	Baumann, K.L., et al., "The Convergent Synthesis of CI-981, an Optically Active, Highly Potent, Tissue Selective Inhibitor of HMG-CoA Reductase," <i>Tetrahedron Lett.</i> , 33(17), 2283-4 (1992).	
	AS3	Larsen, S.D., et al., "Design and Synthesis of Seco-oxysterol Analogs as Potential Inhibitors of 3-Hydroxy-3-methylglutaryl-Coenzyme A (HMG-CoA) Reductase Gene Transcription," <i>J. Med. Chem.</i> , 37(15), 2343-51 (1994).	
	AT3	Kumar, N., et al., "Separation of 3-hydroxy-3-methylglutaryl-coenzyme A reductase inhibitor drug substance diastereomers, and their analogues on $\beta$ -cyclodextrin stationary phase," <i>J. Chromatogr. A</i> , 678(2), 259-63 (1994).	
	AU3	Stokker, G.E., "Synthesis of L-669,262, a Potent HMG-CoA Reductase Inhibitor," <i>J. Org. Chem.</i> , 59(20), 5983-6 (1994).	
	AV3	Kramer, W., et al., "Bile Acid Derived HMG-CoA Reductase Inhibitors," <i>Biochimica et Biophysica Acta</i> , 1227(3), 137-54 (1994).	
	AW3	Huang, Y. and Hall, I.H., "Hypolipidemic Effects of $\alpha$ , $\beta$ , and $\gamma$ -Alkylaminophenone Analogs in Rodents," <i>Eur. J. Med. Chem.</i> , 31(4), 281-90 (1996).	
	AX3	Huang, Y. and Hall, I.H., "Hypolipidemic Activity of 3-Amino-1-(2,3,4-mononitro-, mono-, or dihalophenyl)propan-1-ones in Rodents," <i>Arch. Pharm., Pharm. Med. Chem.</i> 329(7), 339-346 (1996).	
	AY3	Watanabe, S., et al., "Synthesis of 4-[1-(substituted phenyl)-2-oxo-pyrrolidin-4-yl]methoxybenzoic acids and related compounds, and their inhibitory capacities toward fatty-acid and sterol biosyntheses," <i>Eur. J. Med. Chem.</i> , 29(9), 675-86 (1994).	
	AZ3	Hermecz, I., et al., "Synthesis of anti-atherosclerotic pyrido[1,2-alpyrimidines," <i>Arzneim-Forsch</i> , 29(12), 1833-5 (1979).	
	AR4	Ko, S.S., et al., "Synthesis and HMG-CoA Reductase Suppression and LDL Receptor Induction Activities of DMP 565 and Related 15-Oxasterols," <i>Abstr. #10 Papers Am. Chem. Soc.</i> (207 <sup>th</sup> National Meeting, Part 1, MEDI 10, 1994).	
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<input checked="" type="checkbox"/>	AS4	Abstract for Accession Number 90-233170/199031 from World Patent Index Database compiled by Derwent Information Limited.	
<input checked="" type="checkbox"/>	AT4	Abstract for Accession Number 91-088314/199113 from World Patent Index Database compiled by Derwent Information Limited.	
<input checked="" type="checkbox"/>	AU4	Abstract for Accession Number 81-93634D/198151 from World Patent Index Database compiled by Derwent Information Limited.	
<input checked="" type="checkbox"/>	AV4	Abstract for Accession Number 71-08176S/197104 from World Patent Index Database compiled by Derwent Information Limited.	
<input checked="" type="checkbox"/>	AW4	Abstract for Accession Number 73-15504U/197311 from World Patent Index Database compiled by Derwent Information Limited.	
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